algorithm examples experiments

# Race Directed Random Testing of Concurrent Programs a paper by Koushik Sen

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introduction algorithm examples experiments RaceFuzzer is an algorithm for determining real races in concurrent programs that uses a randomized scheduler to create real race conditions.



Petter Solberg in the 2008 WRC Rally Acropolis (photo by greekadman, some rights reserved)

## The problem

introduction

algorithm

examples

conclusion

Finding bugs caused by data races.

- ... but existing techniques are either imprecise (static, dynamic and hybrid race detectors)
- ... and require manual inspection
- ... or are precise but cannot predict potential races (happens-before based detectors)

## Proposed solution

introduction

algorithm

examples

conclusio

Combine race detection with a randomized thread scheduler:

# RaceFuzzer

RaceFuzzer controls a random scheduler based on the results of a race detector, to create real race conditions, and then resolve them randomly at runtime.

## Some definitions

introduction algorithm

examples

conclusion

- **Enabled** thread: a thread that is not waiting to get a lock held by another thread
- Alive thread: a thread that has not yet terminated its execution

#### For a state s, if:

- Enabled(s) is the set of all enabled threads in s
- Alive(s) is the set of all alive threads in s

#### then:

•  $(Enabled(s) = \emptyset \land Alive(s) \neq \emptyset) \rightarrow Deadlock$ 

### ...and a few more

#### $MEM(\sigma, m, \alpha, t, L)$ predicate

- thread t executes statement  $\sigma$
- ...performing a memory access  $\alpha \in \{WRITE, READ\}$
- ...to a memory location *m*
- ...while holding the set of locks L

#### happens-before relation

- if  $e_i$ ,  $e_j$  in same thread:  $e_i \prec e_j$
- if  $e_i$  sends message g and  $e_j$  receives it:  $e_i \prec e_j$
- ullet  $\prec$  is transitively closed

## Phase I: Hybrid Race Detection

introduction

algorithm

conclusion

For each pair of events  $(e_i, e_i)$ , check the conjuction of:

- $e_i = MEM(\sigma_i, m_i, \alpha_i, t_i, L_i)$
- $e_j = \mathsf{MEM}(\sigma_j, m_j, \alpha_j, t_j, L_j)$
- $t_i \neq t_j \wedge m_i = m_j$
- $\alpha_i = WRITE \lor \alpha_i = WRITE$
- $L_i \cap L_j = \emptyset$
- $\neg(e_i \prec e_j) \land \neg(e_j \prec e_i)$

If the condition holds,  $(\sigma_i, \sigma_i)$  is a racing pair.

#### introduction

#### algorithm

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-

conclusion

#### Phase II: the 'Fuzzer

```
Algorithm 1 Algorithm RACEFUZZER
 1: Inputs: the initial state s_0, a set of two racing statements
    RaceSet
 2: s := so
 3: postponed := 0
 4: while Enabled(s) \neq \emptyset do
      t := a \text{ random thread in } Enabled(s) \setminus postponed
       if NextStmt(s,t) \in RaceSet then
          R := Racing(s, t, postponed)
 8:
         if R \neq \emptyset then /* Actual race detected */
            print "ERROR: actual race found"
            /* Randomly resolve race */
10:
11:
            if random boolean then
12:
               s := Execute(s, t)
13:
            else
               postponed := postponed \cup \{t\}
14.
15:
               for all t' \in R do
16.
                  s := Execute(s, t')
                  postponed := postponed \setminus \{t'\}
18:
               end for
```

```
end if
         else /* Wait for a race to happen */
20:
21:
            postponed := postponed \cup \{t\}
         end if
23.
      else
         s := Execute(s, t)
24:
25:
      end if
      if postponed = Enabled(s) then
26:
27:
         remove a random element from postponed
      end if
29: end while
30: if Active(s) \neq \emptyset then
      print "ERROR: actual deadlock found"
32: end if
Algorithm 2 Function Racing(s, t, postponed)
 1: Inputs: program state s, thread t, and set postponed
 2: return \{t' \mid t' \in postponed \text{ s.t. } NextStmt(s,t) \text{ and }
```

NextStmt(s, t') access the same memory location and

at least one of the accesses is a write}

For a given racing pair, execute the threads in a random schedule

- Whenever a thread is about to execute one of the racing statements, the scheduler postpones it
- The execution stays postponed, until some other thread also tries to execute one of racing statements and creates a race (ie accesses the same memory location and is a write)
- Randomly resolve the race: execute one and keep postponing the other

So we reported a real race and by random resolution we checked if something bad can happen

introduction

algorithm

слаттрісь

conclusion

### ...in the meantime

- While postponing threads, we may happen to get several ones trying to execute a racing statement but are not racing as they access different dynamic shared memory locations.
- Those threads are postponed.
- If all threads are postponed, the scheduler randomly picks one to break the deadlock.

Other points of interest

introduction algorithm

examples

conclusion

#### Deadlocks

- RaceFuzzer keeps looping until there is no enabled thread
- If at termination there exist *active* threads: **deadlock**.

#### Replay of specific executions

- Using the same seed for random number generation
- Works because the RF scheduler allows only one thread to execute at a time
- ...and resolves non-determinism by picking randomly generated numbers

introduction

algorithm

examples

experiment

```
Initially: x = y = z = 0;
thread1 {
                	exttt{thread2} \{
1: \quad \mathbf{x} = 1;
              7: \quad z = 1;
2: lock(L); 8: lock(L);
                9: if (y==1) {
3: y = 1;
                  10: if (x != 1){
4: unlock(L);
                  11:
                            ERROR2;
5: if (z==1)
                  12:
                  13: }
6:
     ERROR1;
                  14:
                      unlock (L);
```

## Racing pair (1, 10)

```
introduction
algorithm
examples
```

conclusion

```
thread1
                      thread2 {
    x = 1:
                            z = 1:
    lock(L);
                           lock(L);
                      8:
3:
    v = 1:
                      9:
                           if (v==1)
4:
    unlock (L);
                      10:
                               if (x != 1)
                      11:
                                  ERROR2:
                      12:
5:
    if (z==1)
6:
      ERROR1:
                      13:
                           unlock (L);
                      14:
```

Initially: x = y = z = 0;

- Hybrid detection would report this as a race
- ...but x is implicitly synchronized by y
- RaceFuzzer will not report this as a race

# Racing pair (1, 10)

```
introduction
algorithm
examples
```

experiment

```
conclusion
```

```
thread1
                      thread2 {
    x = 1;
                           z = 1;
    lock(L);
                      8: lock(L);
3:
   v = 1;
                      9:
                           if (v==1)
    unlock (L);
                      10:
                               if (x != 1){
                      11:
                                  ERROR2;
                      12:
5:
    if (z==1)
6:
      ERROR1;
                      13:
                      14:
                           unlock (L);
```

Initially: x = y = z = 0;

- t2 cannot reach (10) first
- If t1 reaches (1) first it will delay until t2 reaches (10)
- ...t2 will terminate, so t1 will be resumed

## Racing pair (5, 7)

ERROR2:

```
introductio
algorithm
```

examples

conclusion

```
thread1 { thread2 { 
1: x = 1; 7: z = 1; 
2: lock(L); 8: lock(L); 
3: y = 1; 9: if (y==1) { 
4: unlock(L); 10: if (x != 1){
```

11:

Initially: x = y = z = 0;

- If t1 reaches (5) first it is postponed
- ...and t2 reaches (7).
- ...and RaceFuzzer reports a real race

unlock (L);

```
introduction
algorithm
examples
```

experiments

conclusion

```
thread1 {
                     thread2
                     7:
                           z = 1:
  x = 1:
   lock(L);
                           lock(L);
2:
3:
   v = 1;
                      9:
                           if (v==1) {
    unlock (L);
                     10:
                              if (x != 1) {
                      11:
                                 ERROR2;
   if (z==1)
                     12:
```

13: 14:

Initially: x = v = z = 0;

• (5) or (7) is randomly chosen for execution

ERROR1;

- ...so ERROR1 is executed with probability 0.5
- The same pattern holds if t2 reaches (7) first

Example 1

introduction

algorithm

examples

- RaceFuzzer does not create false warnings
- It creates multiple scenarios that illustrate the race
- One such scenario shows the reachability of ERROR1
- Scenarios are replayable, by the appropriate random seed.

introduction

algorithm

examples

experiments

```
Initially: x = 0;
```

```
thread1 {
                  thread2 {
                  10. x = 1;
1. lock(L);
                  11. lock (L);
2. f1();
3. f2();
                12. f6();
4. f3();
                  13. unlock(L);
5. f4();
6. f5();
7. unlock(L);
8. if (x==0)
9.
     ERROR:
```

## Example 2

introduction

algorithm

examples

experiment

```
Initially: x = 0;
```

```
thread1 {
                      thread2 {
    lock(L);
                      10.
                            x = 1;
    f1();
                      11.
                            lock(L);
    f2();
                      12. f6();
                      13.
                            unlock (L);
    £5();
    unlock(L);
8.
    if (x==0)
9.
      ERROR;
```

introduction algorithm

examples

- With the default scheduler the probability of (8) and (10) happening temporally near is very small
- With high probability (8) and (10) would be separated by the acquisition and release of lock L
- ...so a happens-before detector would not detect this with high probability
- Also, ERROR has low probability of ever being reached
- These probabilities depend heavily on the number of statements before (8)

```
introduction
```

#### examples

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```
Initially: x = 0;
thread1 {
                      thread2 {
                            x = 1;
    lock(L);
                      10.
    f1();
                      11.
                            lock(L);
    f2();
                      12.
                            f6();
                      13.
                            unlock (L);
    unlock(L);
    if (x==0)
      ERROR;
```

- RaceFuzzer will create the race condition with probability 1
- ...and will resolve the case and reach ERROR with probability 0.5

## Some implementation details

algorithm

experiments

- Implemented for Java
- Hybrid algorithm not optimized (not an objective)
- Works with Java bytecode
  - Cannot track acquire/release of locks in native code
  - Can go to deadlock inside native code
  - Use of monitor thread
- Can go into livelocks (ie all threads disabled except one that does not care to synchronize with any other thread)
  - Use of monitor thread

## Experiments

RaceFuzzer was evaluated on many Java benchmark programs. The inescapable table of results:

Program	SLOC	Average Runtime in sec.			# of Races			# of Exceptions		Probability of
Name		Normal	Hybrid	RF	Hybrid	RF (real)	known	RF	Simple	hitting a race
moldyn	1,352	2.07	> 3600	42.37	59	2	0	0	0	1.00
raytracer	1,924	3.25	> 3600	3.81	2	2	2	0	0	1.00
montecarlo	3,619	3.48	> 3600	6.44	5	1	1	0	0	1.00
cache4j	3,897	2.19	4.26	2.61	18	2	-	1	0	1.00
sor	17,689	0.16	0.35	0.23	8	0	0	0	0	-
hedc	29,948	1.10	1.35	1.11	9	1	1	1	0	0.86
weblech	35,175	0.91	1.92	1.36	27	2	1	1	1	0.83
jspider	64,933	4.79	4.88	4.81	29	0	-	0	0	-
jigsaw	381,348	-		0.81	547	36		0	0	0.90
vector 1.1	709	0.11	0.25	0.2	9	9	9	0	0	0.94
LinkedList	5979	0.16	0.26	0.22	12	12	-	5	0	0.85
ArrayList	5866	0.16	0.26	0.24	14	7	-	7	0	0.55
HashSet	7086	0.16	0.26	0.25	11	11	-	8	1	0.54
TreeSet	7532	0.17	0.26	0.24	13	8	-	8	1	0.41

introduction

examples

experiments

## Notes on experimental results

algorithm

experiments

- RaceFuzzer is a tool for testing and debugging, so runtimes are not too important
- It is demonstrated that RF only reports real races
  - For moldyn 2 new -but benign- races were discovered
- RaceFuzzer is far more effective in discovering insidious errors than when only JVM's default scheduler is used
- A number of previously unknown uncaught exceptions were discovered, in JDK1.4.2 classes LinkedList, ArrayList, HashSet, TreeSet

## Why RaceFuzzer rocks

algorithm

experimen

#### Classifies real races from false alarms

- Actively controls a randomized scheduler and creates real races with high probability
- Automatically separates real races from false alarms
- ...which would otherwise be done manually

## Provides for easy replication of races

- The execution can be replayed using the same random seed
- ...it therefore requires no recording and is lightweight
- Replay useful for debugging real races

## Why RaceFuzzer rocks

introduction algorithm

experiment

conclusion

Separates (some) harmful races from benign ones

- Randomly resolves a race
- Races that lead to errors get detected

Gives no false warnings

 Creates actual racing conditions by bringing racing events temporally near

"Embarrassingly" (actual quote) parallel

- Different invocations for different racing pairs are independent
- Performance can be increased linearly with more processors

introduction

algorithm

examples

- Simple enough idea, great benefits
- It does rock
- A point: as it resolves randomly a race, many runs of the same test should be done so as to maximize the chance that a potential error state is reachable

introduction algorithm examples experiments

conclusion

## Questions?



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